

H.E. António Guterres  
Secretary-General  
United Nations  
New York, NY 10017  
USA

16 December 2022

Mr Secretary-General,

Rathbones Group Plc is a FTSE 250 UK listed wealth management company responsible for managing or advising on £58.9 billion of assets (as at 30 June 22). Whilst Rathbone Greenbank has been successfully investing in the ESG space for over 20 years, the remainder of the Group invests globally across the whole of the market. Over the past few years Rathbones has invested heavily in its responsible investment capability to ensure that it can genuinely deliver choice to clients in this area.

As an important part of this work I am pleased to express our commitment to making the UN Global Compact and its principles part of the strategy, culture and day-to-day operations of our company, and to engaging in collaborative projects which advance the broader development goals of the United Nations, particularly the Sustainable Development Goals.

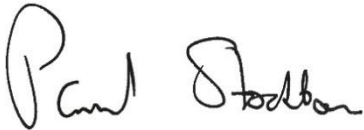
The Rathbones Group plc, will make a clear statement of this commitment to our stakeholders and the general public. We recognize that a key requirement for participation in the UN Global Compact is the annual submission of a Communication on Progress (CoP) that describes our company's efforts to implement the Ten Principles. We support public accountability and transparency, and therefore commit to report on progress starting the calendar year after joining the UN Global Compact, and annually thereafter according to the UN Global Compact CoP policy.

This will include:

1. A statement signed by me expressing continued support for the UN Global Compact and renewing our ongoing commitment to the initiative and its principles
2. The completion of the online questionnaire of the Communication on Progress through which we will disclose our company's continuous efforts to integrate the Ten Principles into our business strategy, culture and daily operations, and contribute to United Nations goals, particularly the Sustainable Development Goals. A description of practical actions that we have taken (or plan to undertake) to implement the UN Global Compact principles in each of the four areas.

Rathbones believes strongly that the Ten Principles are very closely aligned with the ability of all companies to deliver long term sustainable investment returns. We are delighted to be taking these steps forward.

Yours sincerely,

A handwritten signature in black ink that reads "Paul Stockton". The signature is written in a cursive style with a large initial 'P'.

Paul Stockton

Chief Executive

Rathbones Group plc

H.E. António Guterres  
Secretary-General  
United Nations  
New York, NY 10017  
USA

13 July 2021

Mr Secretary-General,

Rathbone Brothers Plc is a FTSE 250 UK listed wealth management company responsible for managing or advising on £60 billion of assets. Whilst Rathbone Greenbank has been successfully investing in the ESG space for over 20 years, the remainder of the Group invests globally across the whole of the market. Over the past two years Rathbones has invested heavily in its responsible investment capability to ensure that it can genuinely deliver choice to clients in this area.

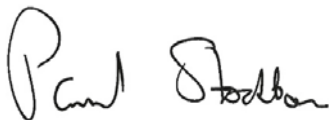
As an important part of this work I am pleased to confirm formally that Rathbone Brothers Plc supports the Ten Principles of the United Nations Global Compact on human rights, labour, environment and anti-corruption. In this communication therefore, we express our intent to implement those principles. Rathbones is committed to making the UN Global Compact and its principles part of the strategy, culture and day-to-day operations of our company, and to engage in collaborative projects which advance the broader development goals of the United Nations, particularly the Sustainable Development Goals. Rathbone Brothers plc will also make a clear statement of this commitment to our stakeholders and the general public.

We recognise that a key requirement for participation in the UN Global Compact is the annual submission of a Communication on Progress (COP) that describes our company's efforts to implement the Ten Principles. We support public accountability and transparency, and therefore commit to report on progress within one year of joining the UN Global Compact, and annually thereafter according to the UN Global Compact COP policy. This will include:

1. A statement signed by me expressing continued support for the UN Global Compact and renewing our ongoing commitment to the initiative and its principles
2. A description of practical actions that we have taken (or plan to undertake) to implement the UN Global Compact principles in each of the four areas
3. A measurement of outcomes.

Rathbones believes strongly that the Ten Principles are very closely aligned with the ability of all companies to deliver long term sustainable investment returns. We are delighted to be taking these steps forward.

Yours sincerely,



Paul Stockton  
Chief Executive Officer